

OFFICE OF THE INSPECTOR GENERAL



September 5, 2019

Via Email: 79415-57573740@requests.muckrock.com

Emma Best
MuckRock News
DEPT MR 79415
411A Highland Ave
Somerville, MA 02144-2516

Dear Ms. Best:

This is our response to your request in which you asked for "a copy of the list or printout or database listing of Inspector General investigations closed/reports completed during calendar year 2015."

The Smithsonian Institution and its OIG are not subject to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, or the Privacy Act, 5 U.S.C. § 552a. *Dong v. Smithsonian Institution*, 125 F.3d 877 (D.C. Cir. 1997), *cert. denied*, 524 U.S. 922 (1998); *Requests for Smithsonian Institution Information*, Smithsonian Directive 807 (SD 807) (Feb. 4, 2009). Nevertheless, we provide information to the public in keeping with the Institution's mandate to increase and diffuse knowledge. See 20 U.S.C. §41 *et seq.* The OIG answers requests for documents consistent with SD 807, and it adheres to the principles of FOIA, the Privacy Act, and relevant caselaw. In addition, the OIG must comply with the requirements of the Inspector General Act of 1978, as amended, 5 U.S.C. App. 3, which places restrictions on what information can be released. Finally, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c). This response is limited to those records that are subject to the requirements of the laws, policies, and principles listed above. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist

Accordingly, we have attached a 2-page document that is responsive to your request.

You have a right to appeal a partial or full denial of your request. An appeal must be in writing, addressed to the Smithsonian Institution Office of the Inspector General, and made within 60 days from the date of this response letter. The request must explain your reason(s) for the appeal. The Smithsonian Inspector General will decide your appeal in writing specifying the reason(s) for the granting or denying of the appeal.

Sincerely,

A handwritten signature in blue ink, appearing to read "Epin H. Christensen".

Epin H. Christensen
Counsel to the Inspector General

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Closed Investigations 2015

File No.	Offense Code	Date Opened	Date Closed
15-011	Misuse of Funds	01/20/2015	02/04/2015
14-071	Abuse of Authority	09/12/2014	02/05/2015
15-018	Abuse/Misuse SI Personnel	02/10/2015	02/12/2015
15-014	Public Concern	01/20/2015	02/13/2015
15-017	Mismanagement Issue	02/07/2015	03/04/2015
15-015	Gross Waste of Funds	02/10/2015	03/18/2015
15-004	Contract/Procurement Irregularity	10/24/2014	03/20/2015
15-021	Public Concern	02/13/2015	03/20/2015
15-025	Personnel Issue	03/16/2015	03/20/2015
11-046	National Collections Issue	03/23/2011	03/26/2015
12-018	OWCP	12/31/2011	03/26/2015
14-026	OWCP	03/11/2013	03/26/2015
15-006	Personnel Issue	11/12/2014	04/07/2015
15-008	Personnel Issue	11/28/2014	04/07/2015
15-009	Personnel Issue	11/30/2014	04/07/2015
15-016	Abuse/Misuse SI Personnel	02/04/2015	04/07/2015
15-019	Time and Attendance	02/20/2015	04/07/2015
15-024	Abuse of Authority	03/15/2015	04/07/2015
15-028	Public Safety/Health Concern	03/24/2015	04/07/2015
15-030	Abuse of Authority	03/25/2015	04/07/2015
15-032	Public Safety/Health Concern	04/16/2015	04/23/2015
15-035	Personnel Issue	04/12/2015	05/04/2015
14-072	Time and Attendance	09/18/2014	05/07/2015
15-007	Fraud	11/19/2014	06/18/2015
15-038	Misuse of Funds	05/14/2015	07/20/2015
15-039	Other - Non Specific	04/03/2015	07/20/2015
14-038	Abuse/Misuse SI Personnel	03/24/2014	08/20/2015
15-040	Personnel Issue	05/29/2015	08/21/2015
15-049	Abuse/Misuse SI Personnel	08/12/2015	08/21/2015
14-046	Col	04/21/2014	08/31/2015
15-048	Assist Other Agency	08/05/2015	09/07/2015
14-060	Personnel Issue	07/09/2014	09/10/2015
15-005	Mismanagement Issue	11/05/2014	09/10/2015
15-022	Missing/Theft - Collections	02/25/2015	09/10/2015
15-047	Theft/Embezzlement	08/02/2015	09/22/2015
15-037	Other - Non Specific	06/01/2015	09/24/2015
15-046	Travel Irregularities	07/09/2015	09/24/2015
15-051	Personnel Issue	08/17/2015	09/24/2015
15-062	Fraud	09/14/2015	09/24/2015
13-037	Fraud	04/19/2013	09/29/2015
15-031	Mismanagement Issue	04/20/2015	09/29/2015
15-043	Abuse of Authority	07/07/2015	09/29/2015
15-045	Assist Other Agency	07/10/2015	09/29/2015
15-057	Abuse of Authority	09/07/2015	09/29/2015
15-059	Abuse of Authority	09/09/2015	09/29/2015
15-061	Personnel Issue	09/10/2015	09/29/2015
15-065	Abuse of Authority	09/28/2015	09/29/2015
15-012	Personnel Issue	01/23/2015	09/30/2015
15-041	Public Concern	07/02/2015	09/30/2015
15-054	Public Concern	08/17/2015	09/30/2015
15-056	Public Concern	09/07/2015	10/01/2015

Closed Investigations 2015

15-050	Personnel Issue	08/02/2015	10/05/2015
14-015	Threats	11/14/2013	10/13/2015
15-003	Mismanagement Issue	10/21/2014	10/13/2015
16-001	Abuse of Authority	10/01/2015	10/13/2015
16-003	Public Safety/Health Concern	10/12/2015	10/15/2015
15-060	Abuse/Misuse SI Property	09/10/2015	10/27/2015
16-007	Public Concern	10/26/2015	10/29/2015
16-009	Contract/Procurement Irregularity	10/29/2015	11/13/2015
12-016	Contract/Procurement Irregularity	12/07/2011	12/02/2015
16-002	Personnel Issue	10/05/2015	12/02/2015
16-008	Other - Non Specific	11/02/2015	12/02/2015
16-015	Abuse/Misuse SI Personnel	11/24/2015	12/03/2015
12-030	Col	03/23/2012	12/09/2015
16-016	Assist Other Agency	11/25/2015	12/10/2015
10-085	OWCP	07/16/2010	12/24/2015
15-052	Abuse of Authority	08/07/2015	12/24/2015